

# HOT TOPICS SEMINAR

6TH ANNUAL



## Latest Trends in Financial Reporting, Internal Accounting Controls, and Physical Security/Risk Management for Nonprofit Organizations

### Overview

The nonprofit sector has been growing steadily, both in size and financial impact, for more than a decade. The National Center for Charitable Statistics reports that the number of NPOs has grown 30 percent over the past decade to 1.5 million. As an emerging economic force in the United States, nonprofits are facing new challenges and increased risks in the areas of finance, accounting, legal, and operations.

Is your organization a target? Is it part of a critical infrastructure or associated with a religious affiliation that could be vulnerable to internal and external security threats? The keynote speaker will focus on these issues and help you assess methodologies that can be used to identify if your nonprofit organization is vulnerable to non-traditional and traditional threats and how to mitigate using risk management techniques.

This seminar featuring top experts is designed specifically to define the issues and provide insight for your financial, technical, and structural nonprofit operations.

### Topics:

Moderator	<b>Steve Austin</b> , Swenson Advisors LLP
Building a Board for Small NPOs	<b>Alex McLellan</b> , Reason Why International
SEC Regulatory Update	<b>John Tishler</b> , Sheppard Mullin Richter & Hampton LLP
Building a Foundation That Makes a Difference	<b>Bob Copeland</b> , Sheppard Mullin Richter & Hampton LLP
San Diego Foundation and Their Role in the Community	<b>Jerry Ray</b> , San Diego Foundation
Financial Reporting for Large NPOs	<b>Dar Ringling</b> , Rock Church
SOX for NPOs	<b>Steve Kuptz</b> , Santa Fe Christian Schools
Sustainable Spending For NPOs	<b>Shawn Wamstad</b> , Bernstein Global Wealth Management

### Keynote Speaker:

**Speaker: Cathi L. Marx**, Aspen Risk Management Group

**Topic:** Threat and Risk Assessment Strategies for NonProfits

Cathi L. Marx is the President and Principal of Aspen Risk Management Group; a safety and risk management consulting company in San Diego. Cathi began her career in the United States Coast Guard (Reserves) in Port Safety & Security. With over 30 years experience, she is a Board member and Diplomat of the American Board for Certification in Homeland Security and is currently the President of the San Diego Chapter of the American Society of Safety Engineers (ASSE).

### Sponsors



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**TUESDAY, OCTOBER 1, 2013**

8:30 a.m. – 11:30 a.m.

Light breakfast served

NTC Promenade at Liberty Station

### REGISTRATION

**\$50 per person** – cash or check

To register, please email  
[rsvp@swensonadvisors.com](mailto:rsvp@swensonadvisors.com)  
or call **619-237-3400 ext. 101**

### LOCATION

**The McMillin Companies  
Event Center**  
2875 Dewey Road  
San Diego, CA 92106  
**FREE parking available**  
in surrounding lots

### CLE/CPE CREDIT

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